



STOCK PLAN TRANSFER FORM

E*TRADE Securities LLC
P.O. Box 484
Jersey City, NJ 07303-0484
General Fax Number: 1-866-650-0003
From Outside the US: +1-678-624-6950

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Your E*TRADE Securities Brokerage Account Number

- Use this form to request a transfer of shares from your Employee Stock Plan Account
- Once the documents are ready for submission, they may be uploaded by visiting the Customer Service Page on etrade.com and clicking on the "Upload Document" tab; documents may also be faxed to 1-866-650-0003, however **original notarized forms must be mailed in**
- Please include a Cover Sheet when submitting documents by facsimile
- Need Help? Send us a secure message through www.etrade.com or call 1-800-838-0908 or 1-650-599-0125 from outside the U.S. (24 hours a day, Monday – Friday)

IMPORTANT NOTE

Please review these items prior to completing this form so that your request is received in good order and processed correctly.

- All sections should be completed in their entirety unless otherwise noted
- This form can only be used to transfer to parties other than the E*TRADE account holder if section 4 is notarized or signed off by an E*TRADE Branch Manager
- Section 3B: Retail Shares – Retail Shares are not held in your Employee Stock Plan Account. Retail shares are only available for those customers that have activated a Retail account, also known as a Complete account, in connection with an Employee Stock Plan account
- This form cannot be used to process cash transfers, intra-firm transfers, or charitable donations

1. ACCOUNT HOLDER INFORMATION

PRIMARY ACCOUNT HOLDER	CO-ACCOUNT HOLDER, IF APPLICABLE
Name Johan Jongsma	Name

2. TRANSFER INSTRUCTIONS (Please complete only one option)

Option A : TO TRANSFER STOCK TO AN ACCOUNT WITH ANOTHER U.S. BROKERAGE FIRM, COMPLETE THIS SECTION

Receiving Firm Name (required) TD Ameritrade	Receiving Firm DTC Number (4 digit number, required) 0188
Receiving Account Owner Name(s) (required) Johan Jongsma	Receiving Account Number (required) 868032940
Receiving Account Type (e.g. Individual, Joint, Trust, etc.) (required) Individual	Entity Name (applicable for Trust or Business accounts) -

Option B : TO TRANSFER STOCK TO AN ACCOUNT WITH AN INTERNATIONAL BROKERAGE FIRM, COMPLETE THIS SECTION

Receiving Firm Name (required)	Receiving Firm DTC Number (4 digit number, required)
Receiving Account Owner Name(s) (required)	Receiving Account Number* (required)
Receiving Account Type (required)	Receiving Firm Email Address** (required)

*Some international firms use an intermediary clearing firm with a 'house-account' number. Please include both the house-account and your personal final-account number if applicable.

**An email address for the Receiving Firm must be provided for the proper processing of an International Transfer. By providing E*TRADE with the receiving firm's email address, you are giving E*TRADE permission to exchange your account number to coordinate the transfer.



3. SHARE INFORMATION (whole shares only, fractionals cannot be transferred)

Option A : TO TRANSFER EMPLOYEE STOCK PLAN SHARES, COMPLETE THIS SECTION

TRANSFER ALL AVAILABLE STOCK PLAN SHARES? ☐ Yes ☐ No

If Yes, E*TRADE will transfer all available stock plan shares. If no, please complete applicable section below.

EMPLOYEE STOCK PURCHASE PLAN SHARES

TRANSFER ALL ESPP SHARES? ☐ Yes ☐ No If No, provide lot details below and the total number of ESPP shares to transfer:

Symbol	Number Of Shares	Purchase Date	Purchase Price

RESTRICTED STOCK/PERFORMANCE GRANTS

TRANSFER ALL GRANTS? ☐ Yes ☐ No If No, provide lot details below and the total number of RSU/PSU shares to transfer:

Symbol	Number Of Shares	Grant Number	Vest Date

EXERCISED SHARES

TRANSFER ALL GRANTS? ☐ Yes ☐ No If No, provide lot details below and the total number of Exercised shares to transfer:

Symbol	Number Of Shares	Grant Date	Exercise Date
SWI	6000	May 2016	

OTHER STOCK PLAN SHARES (OSPS)

TRANSFER ALL OSPS SHARES? ☐ Yes ☐ No If No, provide lot details below and the total number of OSPS shares to transfer:

Symbol	Number Of Shares	Acquisition Date	Estimated Cost Basis

3. SHARE INFORMATION (whole shares only, fractionals cannot be transferred) (continued)

OPTION B : TO TRANSFER RETAIL SHARES, COMPLETE THIS SECTION***

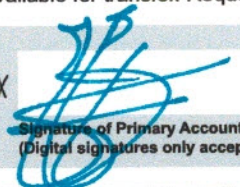
Retail shares are shares outside your Employee Stock Plan portfolio.

Symbol	Number Of Shares	Purchase Date	Purchase Price
NABL	6000	July 2021	result from split

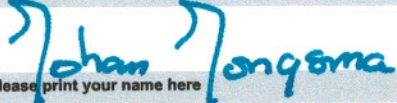
***Please select specific tax lots to be transferred. If no Election is made the shares will be sent using the method selected online under Account Preferences. If no method has been selected the shares will be transferred using FIFO (First-In, First Out).

4. ACCOUNT HOLDER SIGNATURES

I authorize E*TRADE Securities LLC to transfer the securities listed above. I understand that upon receiving this form, E*TRADE Securities will cancel any open orders that will affect the transfer. I am aware that the processing of this request is contingent upon the requested shares being deemed freely available for transfer. Shares from Employee Stock Plan accounts must be fully vested and previously delivered from the Employer's Transfer Agent in order to be available for transfer. Requests that contain incomplete information or securities that are deemed unavailable will not be processed.

X  
Signature of Primary Account Holder
(Digital signatures only accepted via DocuSign) Date (MM/DD/YY)

X  
Signature of Co-Account Holder
(Digital signatures only accepted via DocuSign) Date (MM/DD/YY)


Please print your name here


Please print your name here

5. NOTARY (ONLY REQUIRED FOR 3RD PARTY TRANSFERS)

If you are transferring to a party other than the E*TRADE account holder and are losing ownership of the assets requested in section 3, please complete this section. Transfers between accounts with unlike ownership must be authorized and this section must be notarized or witnessed/signed off by an E*TRADE branch manager.

If mailing this form, have the form notarized below:

-OR- If completing this form at one of our branch offices, please bring in a valid form of picture identification.

NOTARY ACKNOWLEDGMENT:

State of: _____ [seal]
County of: _____
Subscribed and sworn before me: _____
Date: _____
Signature of notary: _____
My commission expires: _____

FOR E*TRADE SECURITIES LLC USE ONLY:

I have verified the Registered Owner's signature against a valid form of photo identification.

Signature of
E*TRADE Branch Manager X _____

Date _____

Print Name X _____

The E*TRADE Financial family of companies provides financial services that include trading, investing, banking, and managing employee stock plans.

Employee stock plan solutions are offered by E*TRADE Financial Corporate Services, Inc.

Securities products and services are offered by E*TRADE Securities LLC, Member SIPC.

In connection with stock plan solutions offered by E*TRADE Financial Corporate Services, Inc., E*TRADE Securities LLC provides brokerage services to stock plan participants.

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RE: Last grant of RSU not visible

From: stockadmin <stockadmin@solarwinds.com>

To: Johan Jongsma <johan@jongsma.me>

CC: stockadmin <stockadmin@solarwinds.com>

Date: Monday, August 23rd, 2021 at 2:48 PM

Hi Johan,

You can move forward with transferring you shares from E*Trade to TD but you will need to get the E*Trade broker to send us an email at stockadmin@solarwinds.com so we can provide the approval. You can could also send them this e-mail as an approval. We do not allow transfers out from E*Trade but I know we had made an exception in the past when your shares were at ML. Thanks!

Regards,

Imran



Imran Bharde, CEP

Sr. Stock Plan Administrator

7171 Southwest Pkwy

Building 400

Austin, TX 78735

Office: 512-498-6222

From: Johan Jongsma <johan@jongsma.me>

Sent: Friday, August 20, 2021 4:10 PM

To: stockadmin <stockadmin@solarwinds.com>

Subject: RE: Last grant of RSU not visible

Matt,